

SEF Rules

UNITED STATES COMMODITY FUTURES TRADING COMMISSION

Submitter Information	
Organization Name	
Tradition SEF, LLC	
Organization Type	Organization Acronym
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Cover Sheet	
Submission Number	Submission Date
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Submission Type	
40.6(a) Rule Certification	
Submission Description	
Modifications to Rules 101, 102, 209, 304, 308, 309, 311, 403, 404, 405, 409, 412, 503, 509, 510, 511, 512, 529,	
706, and 902 within Tradition SEF's Rulebook, as well as other non-substantive revisions.	
☐ Request Confidential Treatment	
Registered Entity Identifier Code	
2024-05	
Rule Numbers	
101, 102, 209, 304, 308, 309, 311, 403, 404, 405, 409, 412, 503, 509, 510, 511, 512, 529, 706, and 902	
Date of Intended Implementation 8/23/2024	
Documents	
Redline of Tradition SEF LLC Rulebook v25 compared to v26 8-9-2024.pdf	
Tradition SEF LLC Rulebook v26 8-9-2024 FINAL [CLEAN].pdf	
CFTC Submission 2024-05 (Modifications to SEF Rulebook) [FINAL] 8-9-2024.pdf	
Request For Confidential Treatment - Detailed Written Justification	
N/A	