

SEF Rules

UNITED STATES COMMODITY FUTURES TRADING COMMISSION

Submitter Information	
Organization Name	
Tradition SEF, LLC	
Organization Type	Organization Acronym
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Cover Sheet	
Submission Number	Submission Date
2502-2417-5346-16	2/24/2025 5:53:46 PM ET
Submission Type	
40.6(a) Rule Certification	
Submission Description The Securities and Exchange Commission ("SEC") has approved Tradition SEF's Rulebook v27 as part of its approval	
of	
Tradition SEF, LLC as a Security-Based Swap Execution Facility on January 29, 2025. Submitting for CFTC approval	
and detail Rule changes.	
☐ Request Confidential Treatment	
Registered Entity Identifier Code	
2025-02	
Rule Numbers	
101, 102, 201, 202, 203, 204, 207, 208, 209, 210, 211, 212, 213, 214, 302, 303, 304, 305, 306, 308, 312, 313, 315,	
402, 403, 405, 406, 408, 410, 412, 501, 502, 503, 504, 507, 510, 511, 512, 514, 515, 518, 519, 522, 527, 528, 533,	
534, 537, 539, 540	
Date of Intended Implementation 3/10/2025	
Documents	
CFTC Submission 2025-02 (Modifications to SEF Rulebook) [FINAL] 2-24-2025.pdf	
Redline of Tradition SEF LLC Rulebook v26 compared to v27 1-22-2025.pdf	
Tradition SEF LLC Rulebook v27 Effective 1-22-2025.pdf	
Request For Confidential Treatment - Detailed Written Justification	
N/A	