



Tradition SEF, LLC

Participant Notice 24-07

Re: Tradition SEF - CFTC Submission Number 2024-05 Modifications to Tradition SEF Rulebook

August 9, 2024

To : Tradition SEF Authorized Representatives
From : Tradition SEF Legal, Compliance, and Operations

Authorized Representatives,

Tradition SEF hereby provides notice of modifications to Rules 101, 102, 209, 304, 308, 309, 311, 403, 404, 405, 409, 412, 503, 509, 510, 511, 512, 529, 706, and 902 within Tradition SEF's Rulebook, as well as other non-substantive grammatical and conforming language modifications. Rule 509 of the Rulebook was revised to reflect the expired no-action relief within CFTC No-Action Letter 20-35 and Rule 510 was revised to reflect the expired no-action relief within CFTC No-Action Letter 17-54. The effective date for the modifications is August 23, 2024.

Tradition SEF has posted copies of CFTC Submission No. 2024-05 and clean and redline versions of the Rulebook to its website at <http://www.traditionsef.com/regulatory/>, concurrent with the filing of the submission with the Commission. Tradition SEF, through its submission to the CFTC, certifies that the rule amendments comply with the Act and the Commission's regulations promulgated thereunder.

Please contact us at the contact information below with any questions.

For SEF compliance or administrative questions please contact:

Eric Earnhardt, CCO: (212) 791-3497 or eric.earnhardt@tradition.com

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For legal matters, please contact:

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